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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
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| 09/919,958 | 08/02/2001 | Bruno Couillard | 35997-215058 | 4261 |
| 26694 | 7590 | 05/15/2006 | EXAMINER | |
| VENABLE LLP P.O. BOX 34385 WASHINGTON, DC 20045-9998 | | | PYZOSHA, MICHAEL J | |
| | | | ART UNIT | PAPER NUMBER |
| | | | 2137 | |

DATE MAILED: 05/15/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

| | | | |
|------------------------------|-----------------|------------------|--|
| Office Action Summary | Application No. | Applicant(s) | |
| | 09/919,958 | COUILLARD, BRUNO | |
| | Examiner | Art Unit | |
| | Michael Pyzocha | 2137 | |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 24 April 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-6,8-18 and 20-25 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-6,8-18 and 20-25 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

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DETAILED ACTION

1. Claims 1-6, 8-18, 20-25 are pending.
2. The response filed 04/24/2006 has been received and considered.

Claim Rejections - 35 USC § 103

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

4. Claims 1-6, 8-18, and 20-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Fischer (US 5001752), further in view of Goodman (US 6466048), further in view of Menezes et al (Handbook of Applied Cryptography) and further in view of Nakamura et al (US 6457126).

As per claims 1, 20, and 25, Fischer discloses a processor for performing time stamping operations with a secure encryption key (see Fig 1 and column 2 lines 12-23).

Fischer fails to disclose the system having two separate modes.

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However, Goodman teaches two different modes (see column 8 lines 53-67 and column 7 lines 29-55).

At the time of the invention it would have been obvious to a person of ordinary skill in the art to use Goodman's different modes in the time stamping system of Fischer.

Motivation to do so would have been to allow the checking of the processes being performed by the processor (see Goodman column 8 lines 53-67).

The modified Fischer and Goodman system fails to disclose precluding the first mode to use the secure key after being used in the second mode.

However, Menezes et al teaches the use of session keys (see page 494) which are used for only one transmission.

At the time of the invention it would have been obvious to a person of ordinary skill in the art to use Menezes et al's idea of session keys to prevent to key from being used again after time stamping operations have been performed.

Motivation to do so would have been to create independence across communications sessions and applications (see Menezes et al page 494).

The modified Fischer, Goodman, and Menezes et al system fails to disclose the same key is used in both modes.

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However Nakamura et al teaches a key used in two modes (see column 15 line 66 through column 16 line 5 and column 17 lines 9-26).

At the time of the invention it would have been obvious to a person of ordinary skill in the art to use the same key in both modes of the modified Fischer, Goodman, and Menezes et al system.

Motivation to do so would have been to test the secure memory (see column 17 lines 9-26).

As per claim 2, the modified Fischer, Goodman, Menezes et al, and Nakamura et al system discloses receiving a request to perform a time stamping operation and placing the processor in the second mode of operation once the request is received (see Goodman column 7 lines 29-55).

As per claim 3, the modified Fischer, Goodman, Menezes et al, and Nakamura et al system discloses generating a unique code for being embedded within time stamped digital data, wherein the secure encryption key and the processor are within a secure module and wherein the unique code is indeterminable outside the secure module prior to receipt of the request (see Fischer column 4 line 61 through column 5 line 17).

As per claims 4, 11 and 15, the modified Fischer, Goodman, Menezes et al, and Nakamura et al system discloses the step of

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generating a unique code for being embedded within time stamped digital data, the unique code being indeterminable before receipt of the request (see Fischer column 4 line 61 through column 5 line 17).

As per claim 5, the modified Fischer, Goodman, Menezes et al, and Nakamura et al system discloses the unique code is inserted within each time stamped digital data (see Fischer column 4 line 61 through column 5 line 17).

As per claim 6, the modified Fischer, Goodman, Menezes et al, and Nakamura et al system discloses each time stamped digital data comprises a timestamp, and wherein the unique code is encoded within the timestamp (see Fischer column 6 lines 25-46).

As per claim 8, the modified Fischer, Goodman, Menezes et al, and Nakamura et al system discloses the unique code is generated based on a random number (see Fischer column 5 lines 1-17).

As per claim 9, the modified Fischer, Goodman, Menezes et al, and Nakamura et al system discloses the unique code is generated based on a random number (see Fischer column 5 lines 1-17).

As per claim 10, the modified Fischer, Goodman, Menezes et al, and Nakamura et al system discloses the unique code is

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generated based on a real time value indicative of a time instance of a first request has been received (see Fischer column 6 lines 25-46).

As per claims 12-13 and 16-18, the modified Fischer, Goodman, Menezes et al, and Nakamura et al system discloses receiving from a real time clock data indicative of a real time the first request for a time stamping operation has been received; generating a first timestamp based on the data indicative of real time using the secure encryption key; embedding the first timestamp within the first digital data and inserting the unique code within the first digital data; and, encoding the first digital data with inserted data therein to form time stamped digital data (see Goodman as above for the request and see Fischer column 6 lines 9-46 which also discloses the data being hashed as in claim 17).

As per claim 14, the modified Fischer, Goodman, Menezes et al, and Nakamura et al system discloses this limitation as in claims above being repeated for a second request.

As per claim 21, the modified Fischer, Goodman, Menezes et al, and Nakamura et al system discloses a real time clock for providing data indicative of a real time (see Fischer Fig 1).

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As per claim 22 the modified Fischer, Goodman, Menezes et al, and Nakamura et al system discloses the processor generates a secure encryption key (see Fischer column 8 lines 12-50).

As per claim 23, the modified Fischer, Goodman, Menezes et al, and Nakamura et al system discloses the step of generating a unique code for being embedded within time stamped digital data, the unique code being indeterminable before receipt of the request (see Fischer column 4 line 61 through column 5 line 17).

As per claim 24, the modified Fischer, Goodman, Menezes et al, and Nakamura et al system discloses generating a unique code for being embedded within time stamped digital data, wherein the secure encryption key and the processor are within a secure module and wherein the unique code is indeterminable outside the secure module prior to receipt of the request (see Fischer column 4 line 61 through column 5 line 17).

Response to Arguments

5. Applicant's arguments filed 04/24/2006 have been fully considered but they are not persuasive. Applicant argues: Goodman does not teach using the same key secure encryption key in two modes because Goodman only teaches using separate keys in separate modes; Nakamura fails to teach the use of a single key in multiple modes for test, encryption, and timestamping;

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Examiner relies on Applicant's disclosure to bridge the gaps; Menezes does not teach an encryption processor using multiple modes; and Examiner uses individual parts of the cited prior art as a mosaic to recreate the invention.

In response to Applicant's arguments against the references individually, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986).

Specifically, with respect to Applicant's argument that Goodman does not teach using the same key secure encryption key in two modes because Goodman only teaches using separate keys in separate modes, Goodman is merely relied upon for its teaching of a system having different modes. Nakamura is relied upon to teach the use of a single key in these multiple modes (i.e. testing and encrypting as seen in column 17 lines 9-14 and column 15 line 66 through column 16 line 5).

With respect to Applicant's argument that Nakamura fails to teach the use of a single key in multiple modes for test, encryption, and timestamping, Nakamura is relied upon to show the use of a single key in multiple modes, which include testing and encryption. While Fischer teaches the use of a secure

encryption key for timestamping and Goodman teaches more detail about the use of different modes. Therefore the combination as given above teaches the use of a single key in multiple modes for test, encryption, and timestamping.

With respect to Applicant's argument that Examiner relies on Applicant's disclosure to bridge the gaps, it must be recognized that any judgment on obviousness is in a sense necessarily a reconstruction based upon hindsight reasoning. But so long as it takes into account only knowledge which was within the level of ordinary skill at the time the claimed invention was made, and does not include knowledge gleaned only from the applicant's disclosure, such a reconstruction is proper. See *In re McLaughlin*, 443 F.2d 1392, 170 USPQ 209 (CCPA 1971).

With respect to Applicant's argument that Menezes does not teach and encryption processor using multiple modes, again Menezes is not relied upon for this limitation and the combination must be considered as a whole.

With respect to Applicant's argument that Examiner uses individual parts of the cited prior art as a mosaic to recreate the invention, each reference provides motivation for the specific part or embodiment to be combined with the other references as given above.

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Conclusion

6. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael Pyzocha whose telephone number is (571) 272-3875. The examiner can normally be reached on 7:00am - 4:30pm first Fridays of the bi-week off. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Emmanuel Moise can be reached on (571) 272-3865. The fax phone number for the

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organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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